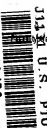


01/31/02



3.1.1 U.S. PTO  
 (plus sign (+) in this box → +)

02-05-02

PTO/SB05 (1/98)

Approved for use through 9/30/2000. OMB 0551-0032  
 Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

27792

PATENT, TRADEMARK OFFICE

Attorney Docket No. MICR0259

Date: January 31, 2002

Inventor(s): **Sabrina D. Boler, Karen L. Baker, Robert E. Gruhl, Robert D. Young, Thomas W. Getzinger**

Title **LOSSLESS MANIPULATION OF MEDIA OBJECTS**

FULL NAME OF 1<sup>ST</sup> NAMED INVENTOR: Sabrina D. Boler COUNTRY OF CITIZENSHIP: US  
 RESIDENCE ADDRESS OF INVENTOR: 1524 37th Avenue, Seattle, Washington 98122  
 FULL NAME OF 2<sup>ND</sup> NAMED INVENTOR: Karen L. Baker COUNTRY OF CITIZENSHIP: US  
 RESIDENCE ADDRESS OF INVENTOR: 8730 133rd Avenue N.E., Redmond, Washington 98052  
 FULL NAME OF 3<sup>RD</sup> NAMED INVENTOR: Robert E. Gruhl COUNTRY OF CITIZENSHIP: US  
 RESIDENCE ADDRESS OF INVENTOR: 1411 East Marion Street, Seattle, Washington 98122  
 FULL NAME OF 4<sup>TH</sup> NAMED INVENTOR: Robert D. Young COUNTRY OF CITIZENSHIP: US  
 RESIDENCE ADDRESS OF INVENTOR: 4119 154th Avenue S.E., Bellevue, Washington 98006  
 FULL NAME OF 5<sup>TH</sup> NAMED INVENTOR: Thomas W. Getzinger COUNTRY OF CITIZENSHIP: US  
 RESIDENCE ADDRESS OF INVENTOR: 8715 141<sup>st</sup> Court N.E., Redmond, Washington 98052

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Director of the Patent and Trademark Office  
 Box Patent Application  
 Washington, DC 20231

1. ☒ Fee Transmittal (BELOW)
2. ☐ Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.
3. ☒ Specification [Total Pages: 27]
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets: 9] [FORMAL]
5. ☒ Oath or Declaration [Total Pages: 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Item 5 below]
  - ii. DELETION OF INVENTOR(S)

☐ Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.63(b).
6. ☐ Application Data Sheet. See 37 C.F.R. § 1.76
7. ☐ CD-ROM or CD-R in duplicated, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on: ☐ i. CD-ROM or CD-R (2 copies); or ☐ ii. Paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) \_\_\_\_\_ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 \_\_\_\_\_ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be itemized specifically)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

**Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)**

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 31, 2002

Date \_\_\_\_\_

*Ronald M. Anderson*  
Ronald M. Anderson, Registration No. 28,829  
Attorney for Applicants

**This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application upon filing.**

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

17. Other: \_\_\_\_\_

**18. If a CONTINUING APPLICATION,** check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No: /

Prior application information: Examiner: \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

Correspondence address below:

LAW OFFICES OF RONALD M. ANDERSON  
600 - 108th Avenue N.E., Suite 507  
Bellevue, WA 98004

Telephone: 425-688-8816      Facsimile: 425-646-6314

FEE TRANSMITTAL																																																				
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																		
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <u>01-1940</u> Deposit Account Name: <u>Law Offices of Ronald M. Anderson</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 & 1.17 Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance		3. ADDITIONAL FEES (Continued)  <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;">Fee Description</th> <th style="width: 20%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>Extension for reply within first month</td><td></td></tr> <tr><td>Extension for reply within second month</td><td></td></tr> <tr><td>Extension for reply within third month</td><td></td></tr> <tr><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>Notice of Appeal</td><td></td></tr> <tr><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>Request for oral hearing</td><td></td></tr> <tr><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>Design issue fee</td><td></td></tr> <tr><td>Plant issue fee</td><td></td></tr> <tr><td>Petitions to the Director</td><td></td></tr> <tr><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>Recording each patent assignment per property (times number of properties)</td><td style="text-align: center;">40</td></tr> <tr><td>Submission after final rejection (37 C.F.R. 1.129(a))</td><td></td></tr> <tr><td>Add'l invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>Other fee (specify)</td><td></td></tr> <tr><td colspan="2" style="text-align: right;"><b>SUBTOTAL (3)</b></td><td style="text-align: center;"><b>\$ 40</b></td></tr> </tbody> </table>		Fee Description	Fee Paid	Requesting publication of SIR after Examiner action		Extension for reply within first month		Extension for reply within second month		Extension for reply within third month		Extension for reply within fourth month		Extension for reply within fifth month		Notice of Appeal		Filing a brief in support of an appeal		Request for oral hearing		Petition to institute a public use proceeding		Petition to revive - unavoidable		Petition to revive - unintentional		Utility issue fee (or reissue)		Design issue fee		Plant issue fee		Petitions to the Director		Petitions related to provisional applications		Submission of Information Disclosure Statement		Recording each patent assignment per property (times number of properties)	40	Submission after final rejection (37 C.F.R. 1.129(a))		Add'l invention to be examined (37 CFR 1.129(b))		Other fee (specify)		<b>SUBTOTAL (3)</b>		<b>\$ 40</b>
Fee Description	Fee Paid																																																			
Requesting publication of SIR after Examiner action																																																				
Extension for reply within first month																																																				
Extension for reply within second month																																																				
Extension for reply within third month																																																				
Extension for reply within fourth month																																																				
Extension for reply within fifth month																																																				
Notice of Appeal																																																				
Filing a brief in support of an appeal																																																				
Request for oral hearing																																																				
Petition to institute a public use proceeding																																																				
Petition to revive - unavoidable																																																				
Petition to revive - unintentional																																																				
Utility issue fee (or reissue)																																																				
Design issue fee																																																				
Plant issue fee																																																				
Petitions to the Director																																																				
Petitions related to provisional applications																																																				
Submission of Information Disclosure Statement																																																				
Recording each patent assignment per property (times number of properties)	40																																																			
Submission after final rejection (37 C.F.R. 1.129(a))																																																				
Add'l invention to be examined (37 CFR 1.129(b))																																																				
Other fee (specify)																																																				
<b>SUBTOTAL (3)</b>		<b>\$ 40</b>																																																		
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check (No. <u>5687</u> ) Money Order _____ Other _____ Payment NOT Enclosed																																																				
FEE CALCULATION																																																				
1. BASIC FILING FEE  <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;">Fee Description</th> <th style="width: 40%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>Utility filing fee</td><td style="text-align: center;">\$ 740</td></tr> <tr><td>Design filing fee</td><td></td></tr> <tr><td>Plant filing fee</td><td></td></tr> <tr><td>Reissue filing fee</td><td></td></tr> <tr><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="2"><b>SUBTOTAL (1)</b></td><td style="text-align: center;"><b>\$ 740</b></td></tr> </tbody> </table>		Fee Description	Fee Paid	Utility filing fee	\$ 740	Design filing fee		Plant filing fee		Reissue filing fee		Provisional filing fee		<b>SUBTOTAL (1)</b>		<b>\$ 740</b>																																				
Fee Description	Fee Paid																																																			
Utility filing fee	\$ 740																																																			
Design filing fee																																																				
Plant filing fee																																																				
Reissue filing fee																																																				
Provisional filing fee																																																				
<b>SUBTOTAL (1)</b>		<b>\$ 740</b>																																																		
2. EXTRA CLAIM FEES  <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Extra Claims</th> <th style="width: 30%;">Fee from below</th> <th style="width: 40%;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total Claims 37 - 20 = 17</td> <td>x \$18 =</td> <td style="text-align: center;">\$ 306</td> </tr> <tr> <td>Independent Claims 6 - 3 = 3</td> <td>x \$84 =</td> <td style="text-align: center;">\$ 252</td> </tr> <tr> <td>Multiple Dependent - 0 -</td> <td>x \$280 =</td> <td style="text-align: center;">\$ -0-</td> </tr> <tr><td colspan="3"><b>SUBTOTAL (2)</b></td><td style="text-align: center;"><b>\$ 558</b></td></tr> </tbody> </table>		Extra Claims	Fee from below	Fee Paid	Total Claims 37 - 20 = 17	x \$18 =	\$ 306	Independent Claims 6 - 3 = 3	x \$84 =	\$ 252	Multiple Dependent - 0 -	x \$280 =	\$ -0-	<b>SUBTOTAL (2)</b>			<b>\$ 558</b>																																			
Extra Claims	Fee from below	Fee Paid																																																		
Total Claims 37 - 20 = 17	x \$18 =	\$ 306																																																		
Independent Claims 6 - 3 = 3	x \$84 =	\$ 252																																																		
Multiple Dependent - 0 -	x \$280 =	\$ -0-																																																		
<b>SUBTOTAL (2)</b>			<b>\$ 558</b>																																																	
<b>FEES (SUBTOTALS (1) &amp; (2))</b> <b>\$ 1298</b> 3. ADDITIONAL FEES Surcharge - late filing fee or oath _____ Surcharge - late provisional filing fee or cover sheet _____ Non-English specification _____ Request for reexamination _____ Requesting publication of SIR after Examiner action _____ <b>TOTAL FEES ENCLOSED: \$ 1338</b>																																																				

Typed/Printed Name Ronald M. Anderson  
 Signature Ron Anderson

Registration Number: 28,829  
 Date: January 31, 2002

#### EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL 744182650 US

Date of Deposit: January 31, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Director of the Patent and Trademark Office, P.O. Box 2327, Arlington, Virginia 22202.

Kathy L. Paulino  
 (Name of person mailing paper/fee)

Kathy Paulino  
 (Signature of person mailing paper or fee)